

Ohio Public Works Commission  
District 6 Public Works Integrating Committee  
**2021 Program Manual**

**Program Year 35**  
**State Capital Improvement Program**  
**Local Transportation Improvement Program**



**EASTGATE**  
Regional Council of Governments

**Title VI/Non-Discrimination Policy**

It is Eastgate's Policy that all recipients of federal funds that pass through this agency ensure that they are in full compliance with Title VI and all related regulations and directives in all programs and activities.

No person shall, on the grounds of race, color, national origin, sex, age, disability, low-income status, or limited English proficiency be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under any of Eastgate's programs, policies, or activities.

# EASTGATE REGIONAL COUNCIL OF GOVERNMENTS

*Serving Northeast Ohio since 1973*

The Eastgate Regional Council of Governments is a multipurpose Regional Council of Governments for Ashtabula, Mahoning and Trumbull Counties, as established by Section 167.01 of the Ohio Revised Code. Eastgate is the agency designated or recognized to perform the following functions:

- Serve as the Metropolitan Planning Organization (MPO) in Mahoning and Trumbull counties, with responsibility for the comprehensive, coordinated, and continuous planning for highways, public transit, and other transportation modes, as defined in Fixing America's Surface Transportation Act (FAST Act) legislation.
- Perform continuous water quality planning functions in cooperation with Ohio and U.S. EPA.
- Provide planning to meet air quality requirements under FAST Act and the Clean Air Act Amendments of 1990.
- Administration of the Economic Development District Program of the Economic Development Administration.
- Administration of the Local Development District of the Appalachian Regional Commission.
- Administration of the State Capital Improvement Program for the District 6 Public Works Integrating Committee.
- Administer the area clearinghouse function, which includes providing local government with the opportunity to review a wide variety of local or state applications for federal funds.
- Administration of the Clean Ohio Conservation Funds
- Administration of the regional Rideshare Program for Ashtabula, Mahoning, and Trumbull Counties.
- With General Policy Board direction, provide planning assistance to local governments that comprise the Eastgate planning area.

## GENERAL POLICY BOARD (2020)

Chair – Julie Green, Trumbull County      Vice Chair – J.P. Ducro, IV, Ashtabula County

Mayor Eric Augustein, Village of Beloit  
Mayor Ruth Bennett, Village of Orangeville  
Mayor Tito Brown, City of Youngstown  
Mauro Cantalamessa, Trumbull County Commissioner  
David Ditzler, Mahoning County Commissioner  
Mayor Richard Duffett, City of Canfield  
Mayor Herman Frank II, Village of Washingtonville  
Mayor Douglas Franklin, City of Warren  
Frank Fuda, Trumbull County Commissioner  
Genevieve Germaniuk, Citizens Advisory Board  
Representative, Trumbull County  
Pat Ginnetti, Mahoning County  
Fred Hanley, Hubbard Township Trustee  
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Dean Harris, Executive Director, Western Reserve  
Transit Authority  
Mayor Arno Hill, Village of Lordstown  
Mayor James Iudiciani, Sr., Village of Lowellville  
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Mayor Benjamin A. Kyle, City of Hubbard  
Paul Makosky, City of Warren  
Mayor Shirley McIntosh, Village of West Farmington

Mayor Steve Mientkiewicz, City of Niles  
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John Moliterno, Western Reserve Port Authority  
Geri Noirot, District Deputy Director, ODOT District 4  
Mayor Deidre Petrosky, City of Cortland  
Mayor Nick Phillips, City of Campbell  
Daniel Polivka, Trumbull County Commissioner  
Kurt Princic, OEPA NE District Chief  
Mayor Glen. M. Puckett, Village of McDonald  
Carol Rimedio-Righetti, Mahoning County Commissioner  
Michael Salamone, Trumbull County Transit  
Randy Samulka, Citizens Advisory Board  
Representative, Mahoning County  
Mayor Timothy Sicafuse, Village of Poland  
Randy Smith, Trumbull County Engineer  
Mayor Dave Spencer, Village of Craig Beach  
Zachary Svette, Trumbull County Metro Parks  
Anthony Traficanti, Mahoning County Commissioner  
Kathryn Whittington, Ashtabula County Commissioner  
Mark Winchell, Ashtabula County  
Joanne Wollet, Poland Township Trustee  
Aaron Young, Mill Creek Metro Parks

## TECHNICAL ADVISORY COMMITTEE (2020)

Chair - Gary Shaffer      Vice Chair - Kristen Olmi

## CITIZENS ADVISORY BOARD (2020)

Chair - Kevin Kern      Vice Chair - Gerald Hurayt

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## Introduction

This document will serve as the official notification to each political subdivision within Mahoning and Trumbull Counties, designated as District 6 within Ohio Revised Code (ORC) Section 164, that Program Year 2021 (PY21), comprising the 35<sup>th</sup> round of funding for the State Capital Improvement Program (SCIP) and the 33<sup>rd</sup> round of funding for the Local Transportation Improvement Program (LTIP) is underway. Hereafter in this Program Manual, both Round 35 SCIP and Round 33 LTIP will be referred to as Program Year 2021 (or PY21).

The District 6 Public Works Integrating Committee (D6PWIC) covers the communities of Mahoning and Trumbull Counties and is charged through ORC 164 to recommend to the Ohio Public Works Commission (OPWC) projects that contribute to improving the district's infrastructure that could not be undertaken without financial assistance from either the State Capital Improvement Program or the Local Transportation Improvement Program. This document provides applicants with an overview of District 6's Program Year 2021 requirements and outlines the application and evaluation process. The D6PWIC process will be used to rate each project submitted for consideration to determine its eligibility and the type and amount of financial assistance the applicant should be provided.

To ensure all projects being considered for funding are processed in an efficient and equitable manner all required pre-application documentation must be submitted before 3:00 p.m., Monday, August 24, 2020 to be eligible for Program Year 2021 consideration. The information in this document is subject to change by the D6PWIC at any time.

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## Members and Alternates

The District 6 Public Works Integrating Committee was created under Section 164.03 of the Ohio Revised Code. <http://codes.ohio.gov/orc/164>

Membership established under Section 164.04 (A) (4)

Alternates are established under Section 164.04 (B)

Member and alternate terms are discussed under Section 164.04 (C)

*“In District Six, the district committee shall consist of nine members appointed as follows: One member shall be appointed by the board of county commissioners of each county in the district;”*

### **Mahoning County**

Terms Expire: June 27, 2021

Member: **Mr. Joseph Muccio**

Mahoning County Sanitary Engineer’s Office  
761 Industrial Road  
Youngstown, Ohio 44509  
330-793-5514 Ext. 8208 (Voice)  
[jmuccio@mahoningcountyoh.gov](mailto:jmuccio@mahoningcountyoh.gov)

Alternate: **Ms. Kristen Olmi**

Mahoning County Sanitary Engineer’s Office  
761 Industrial Road  
Youngstown, Ohio 44509  
330-793-5514 Ext. 8208 (Voice)  
[kolmi@mahoningcountyoh.gov](mailto:kolmi@mahoningcountyoh.gov)

### **Trumbull County**

Terms Expire: June 27, 2021

Member: **Mr. Gary Newbrough, P.E.**

Deputy Sanitary Engineer  
Trumbull County Sanitary Engineer’s Office  
842 Youngstown Kingsville Road  
Vienna, Ohio 44473  
330-675-7753 (Voice)  
[senewbro@co.trumbull.oh.us](mailto:senewbro@co.trumbull.oh.us)

Alternate: **Mr. Scott Verner, P.E.**

Special Projects Director  
Trumbull County Sanitary Engineer’s Office  
842 Youngstown Kingsville Road  
Vienna, Ohio 44473  
330-675-7753 (Voice)  
[severner@co.trumbull.oh.us](mailto:severner@co.trumbull.oh.us)

*“one member shall be appointed by the chief executive officer of the most populous municipal corporation in each county in the district;”*

**City of Warren**

Terms Expire: July 28, 2021

Member: **Mr. Paul Makosky, P.E.**

City Engineer  
540 Laird Avenue S.E.  
Warren, Ohio 44484  
330-841-2973 (Voice)  
[pmakosky@warren.org](mailto:pmakosky@warren.org)

Alternate: **Mr. Christopher Stephenson**

Grants/Projects Coordinator  
391 Mahoning Ave.  
Warren, Ohio 44483  
330-841-2771(Voice)  
[cstephenson@warren.org](mailto:cstephenson@warren.org)

**City of Youngstown**

Terms Expire: June 21, 2021

Member: **Mr. Charles T. Shasho**

Deputy Director of Public Works  
26 South Phelps Street  
Youngstown, Ohio 44503  
330-742-8800 (Voice)  
[cshasho@cityofyoungstownoh.com](mailto:cshasho@cityofyoungstownoh.com)

Alternate: **Mr. Kedar Bhide, P.E.**

Construction Engineer  
26 South Phelps Street  
Youngstown, Ohio 44503  
330-742-8800 (Voice)  
[kbehide@cityofyoungstownoh.com](mailto:kbehide@cityofyoungstownoh.com)

*“one member shall be appointed alternately by a majority of the chief executives of the municipal corporations, other than the largest municipal corporation, within one of the counties of the district;”*

**Mahoning County**  
**Municipal Corporations**

Terms Expire: May 24, 2023

Member: **Mr. Nick Phillips** (Sm. Govt. Vice-Chairman)  
Mayor  
Campbell City  
351 Tenney Avenue  
Campbell, Ohio 44405  
330-755-1451 (Voice)  
[nphillips@campbellohio.gov](mailto:nphillips@campbellohio.gov)

Alternate: **Mr. Wade Calhoun**  
Canfield City Manager  
104 Lisbon Street  
Canfield Ohio, 44406  
330-533-1101 (Voice)  
[wcalhoun@ci.canfield.oh.us](mailto:wcalhoun@ci.canfield.oh.us)

*“and one member shall be appointed alternately by a majority of the boards of township trustees within one of the counties of the district.”*

**Trumbull County**  
**Township Trustees**

Terms Expire: May 24, 2023

Member: **Mr. Fred Bobovnyk** (Sm. Govt. Chairman)  
Weathersfield Township Fiscal Officer  
1451 Prospect Street  
Mineral Ridge, Ohio 44440  
330-652-6326 (Voice)  
[fbobovnyk@weathersfieldtwp.com](mailto:fbobovnyk@weathersfieldtwp.com)

Alternate: **Mr. Tom Shay**  
Braceville Township Fiscal Officer  
800 Braceville Robinson Road SW  
Newton Falls, Ohio 44444  
330-872-0085 (Voice)  
[tshay@bracevilletownship.com](mailto:tshay@bracevilletownship.com)

*“The two persons who are the county engineers of the counties in the district shall also be members of the committee.”*

**Mahoning County**

Terms expire with election or  
appointment of new County Engineer

Member: **Mr. Patrick Ginnetti, P.E., P.S.** (D6 Chairman)  
Mahoning County Engineer  
940 Bears Den Road  
Youngstown, Ohio 44511  
330-799-1581 (Voice)  
[pginnetti@mahoningcountyoh.gov](mailto:pginnetti@mahoningcountyoh.gov)

Alternate: **Mr. Bob Durbin, P.E., P.S.**  
Mahoning County Engineer’s Office  
940 Bears Den Road  
Youngstown, Ohio 44511  
330-799-1581 (Voice)  
[bdurbin@mahoningcountyoh.gov](mailto:bdurbin@mahoningcountyoh.gov)

**Trumbull County**

Terms expire with election or  
appointment of new County Engineer

Member: **Mr. Randy Smith, P.E., P.S.** (D6 Vice-Chairman)  
Trumbull County Engineer  
650 North River Road  
Warren, Ohio 44483  
330-675-2640 (Voice)  
[hwsmith@co.trumbull.oh.us](mailto:hwsmith@co.trumbull.oh.us)

Alternate: **Mr. Gary Shaffer, P.E.**  
Trumbull County Engineer’s Office  
650 North River Road  
Warren, Ohio 44483  
330-675-2255 (Voice)  
[hwshaffer@co.trumbull.oh.us](mailto:hwshaffer@co.trumbull.oh.us)

*At least six of these members shall agree upon the appointment to the committee of a private sector person who shall have experience in local infrastructure planning and economic development.”*

**Private Sector**

Terms Expire: May 18, 2021

Member: **Mr. R. P. Samulka**  
100 East Federal Street  
Suite 1000  
Youngstown, Ohio 44503  
330- (Voice)  
[rpsamulka@zoominternet.net](mailto:rpsamulka@zoominternet.net)

Alternate: **Mr. Tim Yova**  
100 East Federal Street  
Suite 1000  
Youngstown, Ohio 44503  
330-(Voice)  
[49yova@gmail.com](mailto:49yova@gmail.com)



## Overview

### Available Financial Assistance

The following is a preliminary estimate of funding that will be available to District 6 subdivisions from the Ohio Public Works Commission's State Capital Improvement Program (SCIP) and the Local Transportation Improvement Program (LTIP) in Program Year 2021:

**\$ 6,513,000 SCIP Allocation to D6PWIC**  
**\$ 2,216,000 LTIP Allocation to D6PWIC**  
**\$ 1,120,000 Revolving Loan Fund Allocation to D6PWIC**  
**\$9,849,000 Total Allocation to D6PWIC**

In addition to the above-mentioned funding sources, an additional \$20,000,000 is made available in grants or loans statewide for communities with less than 5,000 in population. The award of these funds is made by the Ohio Public Works Commission - Small Government Commission through a statewide competition. These Small Government funds are not allocated on a district basis.

Financial assistance will be awarded to the projects selected by the D6PWIC in the form of grants, loans, credit enhancements, and loan assistance, in accordance with the Ohio Revised Code. The D6PWIC is required to program a minimum of 10% of the Districts SCIP allocation for loans and/or loan assistance. In addition, the D6PWIC may award a maximum of 20% of the SCIP funds for new construction. The D6PWIC SCIP funding breakdown is as follows:

<b>\$6,513,000</b>	<b>SCIP Total</b>
<b>\$651,300</b>	<b>Loans/Loan Assistance (Minimum)</b>
<b>\$5,861,700</b>	<b>SCIP Grants (Maximum)</b>
<b>\$1,302,600</b>	<b>New Construction (Maximum)</b>

The Ohio Public Works Commission requires districts to submit a priority listing of projects recommended for funding that totals 105% of the committee's preliminary allocation. As a matter of policy, the District 6 Public Works Integrating Committee will fund all projects submitted as part of this 105% package. Any projects included in the "105% package" that are not funded with Program Year 2021 allocations, or funds returned to the District account from previous rounds, will be the first projects funded with Program Year 2022 allocations. As in the past, projects will be awarded to the level of the projected dollar figures at the time of selection.

## Program Schedule

The Ohio Public Works Commission will accept final applications for Program Year 2021 through March 31, 2021. The Ohio Public Works Commission's Small Government Program proposals must be included with the D6PWIC submittal. Based on the above deadline, the District 6 Public Works Integrating Committee has established the following schedule for the local program:

**August and September 2020:** Communities prepare pre-applications.

**October 5, 2020 (Monday), 3:00 PM:** Deadline for submission of pre-applications and associated materials to Eastgate Regional Council of Governments. Applicants must submit 1 full pre-application, including maps and other attachments and 18 copies of the 4-page pre-application and location map.

**October 6 to October 23, 2020:** Processing by Eastgate.

**October 23, 2020:** Engineers Plan Status Certification Due.

**October 27, 2020:** D6PWIC will meet to evaluate all the projects and begin to award points to each project.

**November 10, 2020:** D6PWIC will meet to review all points already assigned and to assign Regional Significance points to all projects.

**December 1, 2020:** D6PWIC will meet to provide a final assessment and prioritization of projects to be submitted to the OPWC. The D6PWIC Small Government Subcommittee will also meet to further review and select the seven (7) projects (5 Primary & 2 Alternate) which will be submitted by District 6 to the administrator of the Ohio Small Government's Capital Improvements Commission for consideration for Small Government funding.

**December 2, 2020 to January 14, 2021:** Communities prepare full applications for projects recommended to OPWC for funding.

**January 15, 2021 (Friday) 3:00 PM:** Communities submit full application in electronic format (PDF) with all attachments to Eastgate Regional Council of Governments.  
[edavis@eastgatecog.org](mailto:edavis@eastgatecog.org)

**No later than January 2021:** Eastgate Regional Council of Governments will submit applications to the OPWC office in Columbus.

The above schedule may be amended by the District 6 Public Works Integrating Committee. Further confirmation of specific dates for Committee and sub-committee meetings will be posted on Eastgate's web site at: <http://www.eastgatecog.org/>

## **Capital Improvement Report**

No longer required by District 6.

Forms and Reports no longer required:

- Summary Form
- Five Year Capital Improvement Plan/ Maintenance of Effort
- Inventory of all infrastructure (updated every five years).

## **Pre-Application**

The pre-application is a requirement of the D6PWIC that provides the detailed information and documentation necessary for project evaluation for funding by the committee. All communities seeking funding in Program Year 2021 must submit a pre-application for those projects of highest priority. The necessary pre-application form and instructions are included in the Appendix of this manual. The District 6 Program Manual and pre-application are available electronically on Eastgate's website.

<https://www.eastgatecog.org/regional-planning/OPWC>

## Evaluation and Point System

The D6PWIC will evaluate each project submitted for funding based on the information supplied by each community in the pre-applications. This evaluation will use criteria based on the requirements of Section 164 of the Ohio Revised Code. Evaluations are conducted in open public meetings as required by the Ohio Revised Code. Field checks of proposed projects may be made by committee members and additional documentation may be required to verify information submitted in the pre-applications. The basic methodology to be used in Program Year 2021 may contain revisions in the evaluation rating and criteria system. The committee approves revisions for clarity and objectivity of the rating system. Evaluation considerations that have been developed and refined over the past 34 funding rounds are as follow:

1. a.) Is the applicant the appropriate legal authority for submitting this project?  
**Does the Applicant own the project? If the Applicant does not own the infrastructure or will not own it when it is built, they cannot apply for OPWC funding for that project!**

b.) Does the project meet the infrastructure needs of the area? Mahoning and Trumbull County populations have been declining for a few decades. The greatest need is to maintain the existing infrastructure. Most of District 6 projects submitted each year are repair and replace. However, District 6 will accept new/expansion projects to help expand the population and/or tax base. The entire list of submitted projects to District 6 represent the current needs for all communities. ORC - 164.06(B)(1)

c.) Will the project be started on time? Construction cannot start until July 1, 2021 or until a contract is in place with OPWC and MUST start by June 2022. Any project with a start date July 1, 2022 or after will be rejected by the OPWC and must apply in the next funding round (PY36).

\_\_\_ Yes, on all three - **CONTINUE**

\_\_\_ *No on one or more* - **STOP** – Project does not qualify

## For Sewer/Water Projects Only

**2a.** Does the proposed infrastructure activity improve the general health and welfare of the service area? The Sponsor must confirm with supporting documentation and a Certified Engineering Statement. ORC - 164.06(B)(4)

Critical	Failed infrastructure requiring complete reconstruction or improvements that are mandated by EPA orders in the form of a consent decree or court orders.	10 points
Significant	Infrastructure requiring repair or rehabilitation of majority of components or requiring updates or replacements due to EPA findings or recommendations, or chronic backup or flooding, resulting in structure damage.	5 points
Moderate	Infrastructure requiring increased capacity to meet current needs, improve water quality, or to meet a specific development proposal, flooding resulting in land damage.	3 points
No Impact	Pre-application lacks documentation/statement	0 points

**Points will be awarded for either 2b or 2c. Not both.  
Include supporting documentation for either section.**

**2b.** What are the numbers of homes and/or businesses directly impacted by the health and safety hazard? Must be documented (ex: damage reports, call sheets/work orders).

ORC - 164.06(B)(4)

Homes/Businesses Impacted

1 - 2	2 points
3 - 4	4 points
5 - 6	6 points
7 - 8	8 points
9 or more	10 points

**2c.** In projects that have a service area determined by an engineering study (i.e. sanitary sewer or waterline extensions, wastewater or water treatment plant improvements, stormwater, etc.); what is the number of homes and/or businesses directly impacted?

ORC - 164.06(B)(4)

Homes/Businesses Impacted

0 - 19	2 points
20 - 34	4 points
35 - 49	6 points
50 - 79	8 points
80 or more	10 points

## For Transportation Projects Only

**3a.** Will the project alleviate serious traffic problems or safety hazards? Will it respond to needs caused by rapid growth and/or development? These criteria must be documented with Traffic Studies and/or Certified Engineering Statement. ORC - 164.06(B)(4) ORC - 164.14(E)(1) ORC - 164.14(E)(2)

Critical	Road/bridge reconstruction, including widening and/or geometric improvements as documented by appropriate traffic engineering studies that identify the hazard and recommends the proposed improvement.	10 points
Significant	Road/bridge reconstruction, including widening and/or geometric improvements.	5 points
Moderate	Road/bridge improvement that is predominately resurfacing without significant reconstruction, widening, or geometric improvements or a new road that will improve traffic flow and access.	3 points
No Impact		0 points

**3b.** Traffic counts are no longer required by the District 6 Public Works Integrating Committee. However, the point system is still based on traffic count totals. It is up to each community to decide whether to ask for a traffic count or not. Traffic counts from STREETLIGHT data will be used for all requested traffic counts. Communities must submit traffic count locations to Eastgate to receive the STREETLIGHT data. Roads with no traffic count will receive a default number "249" and 2 points will be awarded.

For projects with more than one road, a weighted average shall be determined using a cost-based method. A worksheet must be included with the pre-application. When developing the weighted average, roads without a traffic count shall use "249" as a default number.

For Program Year 2021, traffic counts from 2016 through 2020 are acceptable.

### Existing Traffic

0 - 249	2 points
250 - 499	4 points
500 - 999	6 points
1,000 - 3,999	8 points
4,000 & Over	10 points

## For All Projects

### 4. What is the condition of the infrastructure? Must be documented

ORC - 164.06(B)(2) ORC - 164.14(E)(9)

Critical	Primary component has failed, and the infrastructure is closed, or inoperable. The infrastructure requires a significant repair/upgrade to return to the intended level of service and meet current design standards. Infrastructure closed for any reason except failure will receive "0" points.	10 points
Poor	Infrastructure has major deficiency with imminent failure, major repairs are required.	8 points
Moderate	Infrastructure contains a major deficiency and will require repair to function and meet current design standards.	5 points
Fair	Infrastructure functions as originally intended but requires minor repairs and/or upgrades to meet current design standards.	3 points
Good	No repair is required, or no supporting documentation was submitted.	0 points



**5. What is the age of the existing infrastructure?**

New/expansion projects will receive 0 points.

If no age is given, projects will receive 0 points.

ORC - 164.06(B)(2)

For road projects - Must be when the last major resurfacing was completed.

15+ years	2 points
10-14 years	1 point
Less than 10 years	0 points

For bridge and culvert projects - Must be when bridge or culvert were built.

50+ years	2 points
31-49 years	1 point
Less than 31 years	0 points

For water, sewer, and stormwater projects - Must be when infrastructure was built.

50+ years	2 points
31-49 years	1 point
Less than 31 years	0 points

**The District 6 committee may adjust points for a project that does not fit these categories.**

**6. What is the project's local share (all funds other than OPWC)?**

ORC - 164.06(B)(6)    ORC - 164.14(E)(4)    ORC - 164.14(E)(6)

61% and over	15 points
46% to 60%	11 points
31% to 45%	7 points
16% to 30%	3 points
0 to 15%	0 points

**7. Is the OPWC request a loan, loan/grant combination, or credit enhancement?**

Percentages are based on the loan amount divided by the total project cost.

The minimum loan amount is \$50,000.

ORC - 164.06(B)(5)

100% OPWC Loan/Credit Enhancement	10 points
76% to 99% OPWC Loan	6 points
51% to 75% OPWC Loan	4 points
26% to 50% OPWC Loan	2 points
0 to 25% OPWC Loan	0 points

**8. The sponsor community's Median Household Income (MHI) is what percent of the State of Ohio MHI (\$54,533)?** ORC - 164.06(B)(6) ORC - 164.06(B)(8) ORC - 164.14(E)(6)

Below 70%	5 points
70% to 79.9%	4 points
80% to 89.9%	3 points
90% to 99.9%	2 points

**9. What is the community priority of this project?**

#1	20 points
#2	18 points
#3	16 points
#4	14 points
#5	12 points
#6	10 points
#7	7 points
#8	4 points
#9	2 points

**10. What is the OPWC funding (grant and loan) request for the number 1 priority project?**  
 (Only the Priority 1 project for each applicant will receive points in this category) ORC - 164.06(B)(5)

\$1 to \$75,000	15 points
\$75,001 to \$150,000	8 points
\$150,001 to \$225,000	4 points

**11.** Is the project a joint project between two or more communities that are within the legal boundaries of the District 6 Public Works Integrating Committee? Both communities must have a financial commitment in the local share and this commitment must be documented through a legally binding agreement between both communities that shall be submitted with the lead agency's pre-application.

ORC - 164.14(E)(7)

Local cost share between communities

50% / 50%	5 points
60% / 40%	4 points
70% / 30%	3 points
80% / 20%	2 points
90% / 10%	1 point

**12. What is the status for the planning and readiness of the project?**

ORC - 164.06(B)(9) ORC - 164.14(E)(5)

Part I – Status of Plans – This uses the Small Government Commission’s Engineer’s Plan Status Certification. (Maximum 2 points)

Plans not yet begun	0 points
Surveying through preliminary design completed (Items A-C)	1 point
Surveying through final construction plans, secured permits, and right-of-way as appropriate (Items A-I)	2 points

Part II – Status of Funding Sources – This uses source documentation including CFO certifications and loan letters. (Maximum 2 points)

All funds not yet committed	0 points
Applications submitted to funding entities	1 point
All funding committed	2 points

**The District 6 Public Works Integrating Committee will review projects that are not in contract within a year or not completed within two years of the project agreement to determine if the committee will recommend termination by OPWC.**

**13. Will the project generate revenue in the form of user fees or assessments?**

ORC - 164.06(B)(3)

No new user fees or assessments	1 point
New user fees or assessments	0 points

**14.** Does the project have other funding besides local or OPWC dollars?

Federal, state, private funding.

ORC - 164.06(B)(7)

66% or more	1 point
Less than 66%	0 points

**15.** Other factors of regional importance

ORC - 164.06(B)(10)    ORC - 164.14(E)(2)    ORC - 164.14(E)(3)    ORC - 164.14(E)(10)

The District 6 Public Works Integrating Committee members, exercising their independent judgment, will utilize this category to determine:

- compliance/consistency with OPWC guidelines
- compliance/consistency with regional plans, policies and/or objectives
- development and maintenance of District’s infrastructure
- creation of significant and specific economic development
- other factors deemed necessary by committee members

Factors of Regional Importance    0 to 25 points

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**General Notes to D6PWIC Ranking System:**

- A. After the 13 criteria ranking process, projects tied in total points will be prioritized in the manner that was approved by the D6PWIC on June 17, 2003. Improvements with the lowest dollar request for D6PWIC funding consideration will be recommended to receive the more favorable priority and improvements with greater dollar requests for funding within the tied field will receive progressively less favorable priority. Examples of project fields tied at 70 and 69 points would be prioritized as follows:

<b>Point Field</b>	<b>Funding Request to D6PWIC</b>	<b>D6PWIC's Final Priority</b>
70	\$60,000	70 Points - Number 1
70	\$75,000	70 Points - Number 2
70	\$75,001	70 Points - Number 3
69	\$55,000	69 Points - Number 1
69	\$70,000	69 Points - Number 2
69	\$99,000	69 Points - Number 3

- B. Each year the D6PWIC submits applications from a prioritized list of projects up to an amount of approximately 115% of funding that is anticipated to be available for the program year. Projects from a prioritized list of improvements that may advance from beyond the 115% approved ranking will only be funded up to the final adjusted dollar amount, determined by the OPWC, that shall be available to D6PWIC in that same program year.
- C. Based on evaluation of the pre-applications considered for funding, the D6PWIC will select eligible projects and determine a recommended slate of projects within appropriate funding levels of the available SCIP/LTIP/RLP for all projects submitted to the OPWC. In addition to evaluation points, meeting Useful Life requirements will be used to select and prioritize projects for recommendation of funding to the Ohio Public Works Commission.

## Useful Life

Section 164-1-13, (A) of the Ohio Administrative Code requires every SCIP and LTIP project to have a useful life of at least 7 years. Section 164-1-13, (B) of the Ohio Administrative Code requires "...that the infrastructure covered by all project applications so submitted in any given year from the district have, on average, an approximate useful life of at least twenty years." For example, for every \$100,000 of projects with a useful life of seven years there must be \$100,000 in projects with a useful life of at least 34 years to meet the 20-year requirement. An additional requirement calls for SCIP and LTIP to be calculated separately. Because of the high useful life of sewer and water projects that can only be funded in the SCIP category, the SCIP slate of projects is usually not a problem. Because of a relative lower useful life for road projects, especially resurfacing projects, the LTIP slate generally has a more difficult time in meeting the useful life requirement. Useful life for the district submission is calculated on a dollar-weighted basis as follows:

Useful Life x Project Cost = Life Cost

	<b>Useful Life / Years</b>	<b>Project Cost</b>	<b>Life Cost</b>
Project A	22	\$202,200	\$4,448,400
Project B	7	\$355,400	\$2,487,800
Project C	14	\$251,200	\$3,516,800
Project D	35	\$232,540	\$8,138,900
Project E	33	\$155,000	\$5,115,000
Project F	8	\$95,540	\$764,320
<b>TOTALS</b>	<b>18.94</b>	<b>\$1,291,880</b>	<b>\$24,471,220</b>

To calculate the useful life of this package, the Total Life Cost is divided by the Total Project Cost - \$24,471,220 / \$1,291,880 = 18.94 years. Under Ohio Revised Code 164-1-13 (b) this package is unacceptable.

In past years, as in the example above, the District 6 Public Works Integrating Committee has had difficulty meeting the useful life requirements for the LTIP package. In some instances, the useful life of a given project can be recalculated. Using the example above, Project B is a paving project that included 24 culverts that had an estimated cost of \$24,000. Project F is also a paving project that included 6 culverts that had an estimated cost of \$10,000. The useful life of these 2 projects could have been calculated as follows:

Project B	Useful Life / Years	Project Cost	Life Cost
24 Culverts	50	\$24,000	\$1,200,000
Pavement	7	\$331,400	\$2,319,800
<b>TOTALS</b>	<b>9.9</b>	<b>\$355,400</b>	<b>\$3,519,800</b>

Project F	Useful Life / Years	Project Cost	Life Cost
6 Culverts	50	\$10,000	\$ 50,000
Pavement	8	\$85,540	\$684,320
<b>TOTALS</b>	<b>12.396</b>	<b>\$95,540</b>	<b>\$1,184.320</b>

When the recalculated useful life is used, the package becomes acceptable:

	Useful Life / Years	Project Cost	Life Cost
Project A	22	\$202,200	\$4,448,400
Project B	<b>9.9</b>	\$355,400	\$3,518,460
Project C	14	\$251,200	\$3,516,800
Project D	35	\$232,540	\$8,138,900
Project E	33	\$155,000	\$5,115,000
Project F	<b>12.396</b>	\$95,540	\$1,184,320
<b>TOTALS</b>	<b>20.065</b>	<b>\$1,291,880</b>	<b>\$25,921,880</b>

The example shown above exhibits one way to meet the requirement. However, it is up to the applicant to certify the highest possible useful life. When the committee is faced with the possibility of not meeting the requirement, such as in Program Year 2006, a bridge project with a useful life of 50 years was moved up the slate past several higher rated projects to meet the useful life requirement.

It is up to the applicant to use the highest certifiable useful life. The D6PWIC has and will continue to take useful life into consideration when evaluating and awarding projects. The Committee also reserves the right to skip over higher rated projects with a lower useful life in favor of a lower rated project with a higher useful life to meet the District's (and OPWC's) useful life requirement.



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## SCIP Affordability Standards

In accordance with the recommendations of the Ohio Public Works Commission (OPWC), the District 6 Public Works Integrating Committee has adopted Affordability standards for user fee projects, namely sanitary sewer and water. User fees consist of charges assessed to the consumers on a periodic basis (monthly, quarterly, etc.) in relation to the level of service provided, plus any special assessments imposed upon the users where the resultant funds are used to finance improvements and/or maintenance to either of the water or wastewater systems. The Affordability Standards are based upon the use of the most current data available from the American Community Survey (ACS) regarding the subdivision's Median Household Income (MHI), in comparison to the State's most current figure of \$54,533.

If the applicant subdivision's MHI is less than 90% of the State's MHI, then:

- Drinking water user fees should be affordable at 1.1% of the subdivision's MHI amount;
- Wastewater user fees should be affordable at 1.5% of the subdivision's MHI amount;
- Combined Water Wastewater user fees should be affordable at 2.6% of the subdivision's MHI amount.

If the applicant subdivision's MHI is greater than or equal to 90% of the State's MHI, but less than or equal to 110% of it, then:

- Drinking water user fees should be affordable at 1.3% of the subdivision's MHI amount;
- Wastewater user fees should be affordable at 1.7% of the subdivision's MHI amount;
- Combined Water Wastewater user fees should be affordable at 3.0% of the subdivision's MHI amount.

If the applicant subdivision's MHI is greater than 110% of the State's MHI, then:

- Drinking water user fees should be affordable at 1.5% of the subdivision's MHI amount;
- Wastewater user fees should be affordable at 1.8% of the subdivision's MHI amount;
- Combined Water Wastewater user fees should be affordable at 3.3% of the subdivision's MHI amount;

Projects not meeting these Affordability standards are considered ineligible for grants and are prime candidates for loans. All water and sanitary sewer projects must submit an Affordability work sheet with each water/sanitary sewer pre-application. The Affordability work sheet is included in the Appendix of the D6PWIC program manual.

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## Grants

### State Capital Improvement Program (SCIP)

For Program Year 2021, the State Capital Improvement Program (SCIP) funds for District 6 are \$6,513,000. The Ohio Revised Code (ORC) requires that a minimum of 10% or \$651,300 of the District Allocation go to loans and/or credit assistance, therefore a maximum of 90% or \$5,861,700 will be available for SCIP grants. New construction and/or projects that include expansion are limited to a maximum of 20% or \$1,302,600 of the District's SCIP allocation. Additional monies may become available from funds awarded but not used in previous rounds and from projects completed under budget.

Grants of up to 90% of eligible cost are available to local political subdivisions for infrastructure repair/replacement projects and up to 50% for new/expansion projects listed as eligible for funding under the SCIP.

The eligible project types are:

1. Roads
2. Bridges
3. Culverts
4. Waste Water Treatment Systems and Facilities
5. Water Supply Systems and Facilities
6. Storm Water Systems
7. Solid Waste Disposal Facilities

Projects recommended for SCIP funding from the District Allocation must have a minimum useful life of 7 years to be eligible for a grant. Section 164-1-13, (B) of the Ohio Administrative Code also requires "...that the infrastructure covered by all project applications so submitted in any given year from the district have, on average, an approximate useful life of at least twenty years."

Useful life is defined as the remaining life of an infrastructure, assuming normal maintenance, and prior to its need to be replaced or rebuilt. See pages 20-21 of this manual for a thorough explanation of Useful Life. A Professional Engineer must certify the useful life statement when the project is in the pre-application phase.

## Local Transportation Improvement Program (LTIP)

The LTIP funds are a portion of state gasoline tax revenues that are distributed to the nineteen Public Works Integrating Committees. For Program Year 2021, the District 6 allocation is \$2,216,000. In addition to the \$2,216,000, monies may become available from funds awarded but not used in previous rounds and from projects completed under budget.

Local Transportation Improvement Program (LTIP) funds are awarded in the form of grants for up to 100% of eligible project cost. These funds are available to local political subdivisions for infrastructure projects listed as eligible for funding as follow:

The eligible project types are:

1. Roads
2. Bridges

Projects recommended for LTIP funding from the District Allocation must have a minimum useful life of 7 years to be eligible for a grant. Section 164-1-13, (B) of the Ohio Administrative Code also requires "...that the infrastructure covered by all project applications so submitted in any given year from the district have, on average, an approximate useful life of at least twenty years."

Useful life is defined as the remaining life of an infrastructure, assuming normal maintenance, and prior to its need to be replaced or rebuilt. See pages 20-21 of this manual for a thorough explanation of useful life. A useful life statement is required to be certified by a Professional Engineer when the project is in the pre-application phase.

## Contingency Projects

The Ohio Public Works Commission requires districts to submit a priority listing of projects recommended for funding that is a minimum of 105% of the district's allocation. Projects that are not 100% funded with the existing allocation are considered contingency projects. As a matter of policy, the District 6 Public Works Integrating Committee will fund all projects submitted as part of the package. Any contingency projects that are not funded with PY 2021 allocations, or funding returned to the District account from previous rounds, will be funded first from PY 2022 allocations.

## Small Government Program

Small Government Funds are a financial resource set aside for townships with populations less than 5,000 and for all villages. During each round of the infrastructure improvement program \$20,000,000 is available in a competitive statewide program through the Ohio Public Works Commission to these smaller entities. An application must be approved by the District Small Government Subcommittee before being submitted to the Administrator of the Ohio Small Government Commission for consideration, only after unsuccessfully competing for both District SCIP and Local Transportation Improvement Program Funding. Because the evaluation criteria used by the Small Government Commission differs from that used by the District 6 Committee, the Committee will use Small Government criteria to evaluate projects for recommendation to the Commission (See Small Government Program in the Appendix). Final approval is through the authority of the Commission. Beginning in 2009 (PY2010) each District may submit a maximum of seven (7) (5 primary & 2 alternate) projects to the Small Government Commission for funding consideration.

Grants of up to 90% of eligible cost are available to local political subdivisions, that qualify as Small Governments (less than 5,000 population), for infrastructure repair/replacement projects and up to 50% for new/expansion projects listed as eligible for funding under SCIP. The eligible project types are:

1. Roads
2. Bridges
3. Culverts
4. Waste Water Treatment Systems
5. Water Supply Systems
6. Solid Waste Disposal Facilities
7. Storm Water and Sanitary Collection, Storage, and Treatment Systems and Facilities

See the OPWC Small Government web pages at:  
<http://www.pwc.state.oh.us/SmallGovernment.html?m=>

## Emergency Program

Emergency money is limited, and funding is conditional based on the immediate preservation of health, safety and welfare usually associated with a natural disaster. A maximum of \$12,000,000 will be available on a statewide basis starting July 1, 2021. Communities must submit the project to their OPWC representative to determine if it qualifies. The final decision is made by the OPWC Director.

## Loans and Credit Assistance

District 6 is charged with the allocation of a minimum of 10% or \$651,300 of the SCIP District Allocation for loans and/or loan assistance and credit enhancement.

### Loans

Interest rates, historically, have been defined by the D6PWIC as zero (0%) percent for use in the making of loans under the SCIP. The loans should be able to address any financing situation that lends itself to AFFORDABILITY - BASED underwriting when user fees are involved. The SCIP Zero Percent (0%) Loan can also be used in situations not involving user fees.

SCIP loans may be used in combination with SCIP grants. SCIP Loan/Grant combination applications will be evaluated as described in scoring criteria #7 on Page 15 of this manual.

The advantage to the district in the utilization of loans is that the moneys returned to OPWC in the form of loan repayments will be credited to the district Revolving Loan account to be utilized in future funding rounds.

### Loan Assistance Credit Enhancement

Two forms of loan assistance are available to support a local subdivision's debt-related activities. First is the use of program funds to "buy-down" the interest rate of another lender's loan to a project. The second form of loan assistance is to fund a "debt service reserve account" that is a typical condition to the issuance of revenue bonds. Program funds may be used toward an up-front purchase of a bond insurance policy on the proposed issue that guarantees debt service of General Obligation Bonds by a community with marginal credit ratings.

## **Revolving Loan Fund**

Through each of the preceding funding rounds the District 6 Public Works Integrating Committee has awarded SCIP loans as part of the district submission to the Ohio Public Works Commission (OPWC). OPWC has established a Revolving Loan Fund (RLF) account to credit repayments of loans to District 6. The District 6 RLF account includes the balance of all SCIP District Allocation Loan repayments, both principal and interest. RLF loans are provided each Program Year based on the actual cash amount received from loan recipients within the District. For Program Year 2021, the RLF allocation is estimated at \$1,120,000.

The District 6 RLF loans can only be allocated after all mandated SCIP loans are awarded. All RLF loans are subject to the Minority Business Enterprise and Useful Life requirements of the Ohio Public Works Commission. RLF projects do not fall under the new construction limitations of the SCIP District Allocation and may fund up to 100% of total project costs.

Projects selected for loans under the RLF program will be selected along with and through the same evaluation and selection process as the SCIP District Allocation and the LTIP programs.

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## PROJECT COSTS

### Eligible Project Costs

Project engineering, acquisition, construction, equipment, direct expenses and contingencies are eligible costs as follows:

1. **Project Engineering:** shall include only engineering services that are integral to the project and shall not include any of the subdivision's ongoing overhead expenses involved in carrying out its existing engineering services capacity. If a subdivision chooses to hire an engineer for professional services, the subdivision must enter into a project specific contract (see Advisory Procurement of Engineering/Project Management Services).
2. **Acquisition:** only those acquisitions that are integral to the activities involved in the project.
3. **Project Construction:** only construction that is integral to the activities involved in the project.
4. **Project Equipment Costs:** only equipment costs that are integral to the activities involved in the project.
5. **Other Direct Expenses:** may be any out-of-pocket costs borne by the Applicant that are directly related to the execution of the project.
6. **Contingency:** must be clearly identified, should not exceed 10% of total project cost.



### **Non-Eligible Project Costs**

Certain costs associated with a project are not eligible for funding including, but not limited to:

1. Expenditures or proposed expenditures for aesthetic treatment, ornamentations, or adornments to infrastructure.
2. Expenditures or proposed expenditures for landscaping activities and improvements pertaining to infrastructure that go beyond basic requirements or post-construction repairing, stabilizing, and re-seeding of land surfaces.
3. The cost of planning or administrative services related to the review, listing, studying, reporting, planning, recording, and prioritizing of capital improvement projects by a subdivision.
4. The cost of planning or administrative services of a district committee, executive committee, or small government subcommittee in reviewing, recording, approving, or disapproving project applications.
5. Administrative costs assessed as per Article VIII, Section B of the District 6 Public Works Integrating Committee Bylaws.

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## Program Administration

Since the passage of the State Bond Issue 2 in November of 1987, the Eastgate Regional Council of Governments (Eastgate), formerly known as the Eastgate Development and Transportation Agency (EDATA), has provided political subdivisions within Mahoning and Trumbull Counties with guidance on the State Capital Improvement Program, Local Transportation Program, Small Governments Program and Emergency Program. Eastgate was chosen by the District 6 Public Works Integrating Committee to administer the program for the District and has taken an active role to ensure that projects are submitted on time and in an acceptable format for funding evaluation by the Ohio Public Works Commission.

In its administrative role, Eastgate staff has prepared numerous documents that have been used as a basis for initial project submittal by communities in District 6, developed computer programs to store and track project data and sort projects for district evaluation, preparation of the annual Program Manual, assisted in developing the Bylaws of the District, and coordinated the final project submittals with those applicants whose projects had been selected by the District for funding. These duties are ongoing along with the day to day administration of the SCIP/LTIP programs.

On Oct. 24, 1988, the District Committee, aware of the costs involved, approved a methodology that provided for program administration funding. This funding methodology was included in the District Bylaws in Article VIII, Section B - Administrative Expenses. On May 14, 2019, the Bylaws were amended to read as follows:

“Necessary costs incurred by Eastgate in the administrative process of SCIP/LTIP and Small Government Programs of District 6 shall be covered by a 1½ percent administrative fee to be provided by the political entities which receive funding under the provisions of the OPWC. Upon completion of the project, Eastgate will invoice each political subdivision for an amount equal to 1½ percent of the OPWC funds used on their project(s). The funds provided by the applicants to Eastgate for SCIP/LTIP and Small Government program administration shall be provided from sources other than OPWC funds. Projects funded through the OPWC Emergency Program will be assessed a fee of \$500 or ½ percent of the total funds, whichever is less.”

# Program Year 2020 Award Summary

## State Capital Improvement Projects (SCIP)

Community	Project Name	Project Type	Useful Life	OPWC Grant Request	OPWC Loan Request	Local Commitment	Local %	OPWC %	Total Proj. Cost	Total Points	Funds
Youngstown City	WWTP Incinerator Rehabilitation Project	Wastewater	25	\$ 400,000	\$ 1,500,000	\$ 5,152,000	73%	27%	\$ 7,052,000	82	SCIP
Mahoning County	2020 Mahoning County Rd & Bridge Safety Upgrade	Road	21.54	\$ 535,401	\$ -	\$ 1,000,000	65%	35%	\$ 1,535,401	79	SCIP
Girard City	Wellman Liberty Drainage Improvements	Stormwater	44	\$ 148,689	\$ -	\$ 253,174	63%	37%	\$ 401,863	78	SCIP
Newton Falls Village	Medley Avenue Sanitary Sewer Phase 4	Wastewater	40	\$ 105,300	\$ -	\$ 89,700	46%	54%	\$ 195,000	77	SCIP
Trumbull County	Yankee Lake Sanitary Sewer Improvements Project	Wastewater	50	\$ 500,000	\$ -	\$ 1,936,307	79%	21%	\$ 2,436,307	77	SCIP
Warren City	East Market Street Project	Road	17	\$ 450,000	\$ -	\$ 1,800,000	80%	20%	\$ 2,250,000	76	SCIP
Poland Village	Year 2020 Resurfacing Project	Road	20	\$ 74,000	\$ -	\$ 135,830	65%	35%	\$ 209,830	75	SCIP
Canfield Township	2020 Township Paving Program	Road	15	\$ 75,000	\$ -	\$ 151,179	67%	33%	\$ 226,179	75	SCIP
McDonald Village	2020 Street Resurfacing Project	Road	13	\$ 75,000	\$ -	\$ 147,978	66%	34%	\$ 222,978	75	SCIP
Sebring Village	Virginia Avenue Improvement Project Phase 1	Road	10	\$ 75,000	\$ -	\$ 84,800	53%	47%	\$ 159,800	75	SCIP
Struthers City	Year 2020 Resurfacing Project	Road	20	\$ 149,000	\$ -	\$ 289,492	66%	34%	\$ 438,492	75	SCIP
Mahoning Valley Sanitary District	Headhouse Chemical Feed Systems Improvement Project	Water	20	\$ 300,000	\$ -	\$ 1,449,544	83%	17%	\$ 1,749,544	75	SCIP
Austintown Township	2020 Road Resurfacing Program - Grant	Road	10	\$ 150,000	\$ -	\$ 238,000	61%	39%	\$ 388,000	73	SCIP
Campbell City	2020 Street Resurfacing Program	Road	14	\$ 150,000	\$ -	\$ 285,998	66%	34%	\$ 435,998	73	SCIP
Coitsville Township	2020 Roadway Safety Upgrade	Road	21	\$ 54,166	\$ -	\$ 84,728	61%	39%	\$ 138,894	72	SCIP
Vienna Township	TH162C Smith Stewart Rd Storm Sewer & Resurfacing Phase 1	Road	17	\$ 74,878	\$ -	\$ 64,043	46%	54%	\$ 138,921	72	SCIP
Hubbard Township	2020 Widening and Resurfacing Program	Road	15	\$ 74,936	\$ -	\$ 74,936	50%	50%	\$ 149,872	72	SCIP
Champion Township	2020 Bridge Rehabilitation & Resurfacing Program	Road	20	\$ 149,615	\$ -	\$ 127,451	46%	54%	\$ 277,066	72	SCIP
Mahoning County	Olde Winter Trail Pump Station Replacement Project	Wastewater	50	\$ 307,800	\$ -	\$ 262,200	46%	54%	\$ 570,000	72	SCIP
Warren City	2020 City Road Program	Road	14	\$ 602,100	\$ -	\$ 512,900	46%	54%	\$ 1,115,000	72	SCIP
Canfield City	2020 Resurfacing Project	Road	15	\$ 148,576	\$ -	\$ 264,134	64%	36%	\$ 412,710	71	TERMINATED
Niles City	West Park Avenue/ East State Street Mill and Fill	Road	10	\$ 150,000	\$ -	\$ 622,580	81%	19%	\$ 772,580	71	SCIP
Trumbull County	State Rd Phase 2 Sanitary Sewer Improvements Project	Wastewater	50	\$ 250,000	\$ -	\$ 992,475	80%	20%	\$ 1,242,475	71	SCIP
Youngstown City	Crescent St. Bridge Removal and Roadway Improvement	Road	35	\$ 690,000	\$ -	\$ 966,848	58%	42%	\$ 1,656,848	71	SCIP
Newton Township	2020 Resurfacing Program	Road	13	\$ 74,818	\$ -	\$ 74,818	50%	50%	\$ 149,636	70	SCIP
Braceville Township	TH317 Price West Rd Widening & Resurfacing Phase 1	Road	19	\$ 74,940	\$ -	\$ 63,838	46%	54%	\$ 138,778	70	SCIP
Boardman Township	2020 Infrastructure Program	Road	15	\$ 225,000	\$ -	\$ 764,409	77%	23%	\$ 989,409	70	SCIP
Milton Township	Stoltz Rd Improvements - Phase 2	Road	10	\$ 45,900	\$ -	\$ 39,100	46%	54%	\$ 85,000	69	SCIP
Johnston Township	TH249B Stoddard Hayes Rd Culvert Upgrades & Resurfacing Phase 1	Road	14	\$ 72,229	\$ -	\$ 61,776	46%	54%	\$ 134,005	69	SCIP Contingency
Orangeville Village	High St Road Improvements	Road	17	\$ 56,551	\$ -	\$ 48,367	46%	54%	\$ 104,918	68	SCIP Contingency
Bazetta Township	TH1419 Fallehn Dr. Resurfacing	Road	13	\$ 62,590	\$ -	\$ 53,332	46%	54%	\$ 115,922	68	SCIP Contingency
Springfield Township	Felger Road Safety Upgrades - Phase 2	Road	35	\$ 143,835	\$ -	\$ 122,527	46%	54%	\$ 266,362	67	SCIP Contingency
Canfield City	Sawmill Creek Drainage Improvement Project - Phase 1	Stormwater	75	\$ 149,291	\$ 251,046	\$ 342,403	46%	54%	\$ 742,740	65	SCIP Contingency
Cortland City	Mecca St. Resurfacing and Intersection Upgrades	Road	32	\$ 224,000	\$ -	\$ 896,000	80%	20%	\$ 1,120,000	74	SCIP Contingency
				\$ 6,594,615	\$ 1,751,046	\$ 18,556,867			\$ 26,902,528		

**Local Transportation Improvement Projects (LTIP)**

Community	Project Name	Major Project Type	Useful Life	OPWC Grant Request	OPWC Loan Request	Local Commitment	Local %	OPWC %	Total Proj. Cost	Total Points	Funds
Trumbull County	2020 Road and Bridge Program	Road	25	\$ 1,331,097	\$ -	\$ 2,082,296	61%	39%	\$ 3,413,393	88	LTIP
Warren Township	Sixth Street Widening and Resurfacing	Road	19	\$ 74,982	\$ -	\$ 63,874	46%	54%	\$ 138,856	81	LTIP
Howland Township	2020 Road Resurfacing Program	Road	13	\$ 149,999	\$ -	\$ 383,509	72%	28%	\$ 533,508	77	LTIP
Liberty Township	2020 Resurfacing Program Part 1	Road	13	\$ 149,999	\$ -	\$ 264,103	64%	36%	\$ 414,102	77	LTIP
Mahoning County	Western Reserve Rd Phase 1 Widening Project	Road	33.08	\$ 1,000,000	\$ -	\$ 5,785,419	85%	15%	\$ 6,785,419	77	LTIP
Weathersfield Township	Oakview Manor Area Resurfacing Phase 1	Road	12	\$ 74,800	\$ -	\$ 74,799	50%	50%	\$ 149,599	76	LTIP
Cortland City	2020 Asphalt Resurfacing Program	Road	10	\$ 75,000	\$ -	\$ 145,588	66%	34%	\$ 220,588	76	LTIP
Brookfield Township	Lower North Masury Community Rehabilitation	Road	17	\$ 149,861	\$ -	\$ 175,924	54%	46%	\$ 325,785	69	LTIP Contingency
Farmington Township	TH198B&D Geauga Portage Easterly Rd Improvement	Road	15	\$ 173,870	\$ -	\$ 148,708	46%	54%	\$ 322,578	66	LTIP Contingency
Smith Township	W. Pine Lake Rd Safety Upgrades - Phase 2	Road	10	\$ 74,810	\$ -	\$ 14,250	16%	84%	\$ 89,060	65	LTIP Contingency
New Middletown Village	2020 New Middletown Resurfacing	Road	10	\$ 75,000	\$ -	\$ 22,000	23%	77%	\$ 97,000	64	LTIP Contingency
				\$ 3,329,418	\$ -	\$ 9,160,470			\$ 12,489,888		

**Loan Projects**

Community	Project Name	Major Project Type	Useful Life	OPWC Grant Request	OPWC Loan Request	Local Commitment	Local %	OPWC %	Total Proj. Cost	Total Points	Funds
Lowellville Village	Ralph Conti Drive Improvements	Road	13	\$ -	\$ 121,773	\$ 103,734	46%	54%	\$ 225,507	73	TERMINATED
Trumbull County	Blueprint Waterline Project - SR82 & SR 534 Extension	Water	50	\$ -	\$ 1,111,815	\$ 545,500	33%	67%	\$ 1,657,315	64	LOAN
Hubbard City	Grandview Ave Culvert Replacement	Road	45	\$ -	\$ 388,040	\$ 175,153	31%	69%	\$ 563,193	63	LOAN
Lowellville Village	North Avenue Improvements	Road	26	\$ -	\$ 90,351	\$ 76,967	46%	54%	\$ 167,318	62	TERMINATED
Newton Falls Village	First Street Mill and Fill	Road	10	\$ -	\$ 260,000	\$ -	0%	100%	\$ 260,000	62	LOAN
Liberty Township	2020 Resurfacing Program Part 2	Road	13	\$ -	\$ 349,678	\$ -	0%	100%	\$ 349,678	60	LOAN Contingency
Canfield City	Northeast Sanitary Sewer Sliplining Project	Wastewater	35	\$ -	\$ 583,525	\$ 111,976	16%	84%	\$ 695,501	58	LOAN Contingency
				\$ 2,905,182	\$ 1,013,330				\$ 3,918,512		

**Small Government Projects**

Community	Project Name	Major Project Type	Useful Life	OPWC Grant Request	OPWC Loan Request	Local Commitment	Local %	OPWC %	Total Proj. Cost	Total Points
Bristol Township	TH222C&D HouseI Craft Rd. Improvements	Road	15	\$ 224,942	\$ -	\$ 101,061	31%	69%	\$ 326,003	54
Goshen Township	Smith Goshen Rd Upgrade	Road	15	\$ 90,649	\$ -	\$ 78,303	46%	54%	\$ 168,952	62
Greene Township	TH195C Durst Colebrook Rd. Widening & Resurfacing Phase 3	Road	18	\$ 122,658	\$ -	\$ 104,488	46%	54%	\$ 227,146	63
Newton Falls Village	Washington Avenue Storm Sewer Improvements	Stormwater	45	\$ -	\$ 145,000	\$ -	0%	100%	\$ 145,000	50
Beloit Village	Beloit Standpipe Rehabilitation	Water	25	\$ 224,938	\$ -	\$ 43,700	16%	84%	\$ 268,638	62
				\$ 663,187	\$ 145,000	\$ 327,552			\$ 1,135,739	

**Unfunded Projects**

Community	Project Name	Major Project Type	Useful Life	OPWC Grant Request	OPWC Loan Request	Local Commitment	Local %	OPWC %	Total Proj. Cost	Total Points
Niles City	Beaver Avenue Waterline Replacement	Water	40	\$ 224,600	\$ -	\$ 117,000	34%	66%	\$ 341,600	63
Hubbard City	Westview Avenue Resurfacing Project	Road	15	\$ 52,468	\$ -	\$ 82,412	61%	39%	\$ 134,880	59
Beaver Township	Sharrott Creek Drive Improvements - Phase 1	Road	20	\$ 150,000	\$ -	\$ 131,265	47%	53%	\$ 281,265	59
Austintown Township	2020 Road Resurfacing Program - Loan	Road	10	\$ -	\$ 700,000	\$ 485,207	41%	59%	\$ 1,185,207	57
Hubbard City	Roosevelt Drive Waterline Replacement	Water	40	\$ -	\$ 217,000	\$ -	0%	100%	\$ 217,000	50

# Pre-Application

<p><b>District 6 Public Works Integrating Committee</b></p> <p><b>Program Year 2021</b></p> <p><b>Pre-Application</b></p>
---

*All answers must be typed*

1. Subdivision Name:		Subdivision Code:	
2. Project Name:			
3. Contact Person (Should be Available 8 a.m. to 4 p.m.):			
Name:		Title:	
Email:			
Address Street:		City:	State: <b>Ohio</b>
ZIP:	Phone:	Fax:	
4. Population:	5. Project Priority Number:	6. Project Start Date:	
7. Project End Date:	8. Previously Submitted/Year:	9. Subdivision Responsible:	
	Yes:    No:	Yes:	No:
10. Request:		11. Action (Percent):	
Grant:	0% Loan:	Credit:	New/Expansion:    Repair/Replacement:
12. Project Type (Check One or More):      "XX" in category with largest component by \$ - "X" in all others			
Road	Bridge / Culvert	Stormwater	Wastewater    Water Supply    Solid Waste
If Project is Stormwater, Wastewater, or Water Supply, please mark which point category (2B or 2C).			
13. If User Fees - Affordability Worksheet		14. If Not Approved for Grant is Loan Acceptable	
Line 11:	Line 22:	Line 27:	Yes:    No:
15. Age of System:	16. Condition:	17. Generation of Revenues: Y/N	
18. Dwelling Units Served:	19. Commercial Units Served:		
20. Max Useful Life With:	21. Average Daily Traffic Counts		Source of Counts
	If more than one road, enter a weighted average		

All Pre-applications must be typed and returned by 3:00 p.m., October 5, 2020 to the  
 Eastgate Regional Council of Governments, City Centre One, 100 E. Federal Street, Suite 1000, Youngstown, OH 44503  
 Pre-applications returned after 3:00 p.m., October 5, 2020 will not be eligible for funding consideration.

**22. Specific Location:**

**23. Project Components:**

**24. Physical Dimensions/Characteristics:**

**25. Design Service Capacity:**

**26. Project Justification: Is this project necessary for health and safety?  
Explain.**

**27. Are Federal/State funds available to finance all or part of the project and have they been applied for?**  
If yes, provide a breakdown by percentage.

**28. Is the project multijurisdictional? If yes, explain.**  
If the project's cost is shared, provide a breakdown by percentage. Attach agreements.

**29. Can the project be completed on schedule without SCIP/LTIP funding?**  
If no, explain.

**30. Is the project needed for new economic or residential growth?**  
If yes, explain.

**31. Is This Project Under OEPA Sanctions/Mandates?**  
If yes, explain. Attach documentation.

<b>32. Estimated Start Schedule:</b>		<b>33. Estimated Completion Schedule:</b>	
Engineering:		Engineering:	
Bid Process:		Bid Process:	
Construction:		Construction:	

**34. Project Estimated Cost:** *(No Cents Please)*

Engineering	Repair/Replace	New/Expansion	Total
Preliminary Engineering	\$	\$	\$
Final Design Engineering	\$	\$	\$
Other Engineering Services			
Supervision	\$	\$	\$
Miscellaneous	\$	\$	\$
<b>Total Engineering:</b>			\$
<b>Acquisition</b>			
Land	\$	\$	\$
Right of Way	\$	\$	\$
<b>Total Acquisition:</b>			\$
<b>Construction:</b>	\$	\$	\$
<b>Equipment Purchase Directly:</b>	\$	\$	\$
<b>Other Direct Expenses:</b>	\$	\$	\$
<b>Contingencies:</b>	\$	\$	\$
<b>Total Estimated Costs:</b>			\$

A Certified Engineer's Cost Estimate is Required to be Submitted With This Preapplication

**35. Anticipated Financial Resources: (No Cents Please)**

**Ohio Public Works Commission (OPWC) Revenues:**

Grant	\$0		Loan Assist	\$0	
Loan	\$0		<b>Total OPWC</b>	\$0	

**Other State/Federal Revenues:**

ODOT	\$0		CDBG	\$0	
FHWA	\$0		USEDA	\$0	
OEPA	\$0		Other	\$0	
OWDA	\$0		<b>Total</b>	\$0	

**Local Matching Revenues:**

In-Kind	\$0		Assessment	\$0	
General Fund	\$0		Private	\$0	
User Fees	\$0		<b>Total Local</b>	\$0	

**Total Revenues:** \$0      **Local Percentage:** 0.0%

**36. Check List:**

<input type="checkbox"/>	One Original With all Submissions
<input type="checkbox"/>	18 Copies of the 4 Page Preapplication With 8.5" x 11" Location Maps Attached
<input type="checkbox"/>	Certified Engineer's Cost Estimate
<input type="checkbox"/>	Certified Engineer's Useful Life Statement
<input type="checkbox"/>	Location Map
<input type="checkbox"/>	Traffic Count Weighted Average Worksheet (If more than one road)
<input type="checkbox"/>	Affordability Work Sheet (Sanitary & Water Projects Only)
<input type="checkbox"/>	Copy of all the Cooperation Agreements (Multijurisdictional Projects)
<input type="checkbox"/>	Auditor's Certificate of Estimated Resources - Small Governments Only



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## Pre-Application Instructions

All answers must be typed and returned by 3:00 p.m., August 24, 2020, to the Eastgate Regional Council of Governments, City Centre One, Suite 1000, 100 East Federal Street, Youngstown, Ohio 44503. Pre-applications submitted after 3:00 p.m., Monday August 24, 2020, will not be considered for Program Year 2021 funding.

### 1. **Subdivision Name and Code**

Indicate the legal name of the political subdivision and the Ohio Public Works Subdivision Code.

### 2. **Project Name**

Indicate the name of the project. The name should contain the name of the system/structure and the nature of the project; (South Avenue Resurfacing, South Water Treatment Plant Upgrade, etc.)

### 3. **Contact Person**

Enter the name, phone number and address of the person who will be available between 8:00 A.M. and 4:00 P.M. on a day to day basis during the review and selection process and who can best answer or coordinate the response to questions about your pre-application. All pre-applications must include an e-mail address for primary contact.

4. **Population** - List the 2010 census figure for your community.

5. **Project Priority** - Indicate your community's priority number of the project (first priority-1, second priority-2, etc.) for Program Year 2021.

6. **Project Start Date** - Indicate the estimated start of construction date should this project receive approval. No later than June 30, 2022.

### 7. **Project End Date**

Indicate the estimated completion date. This shall include time to complete all final paperwork to closeout this project with OPWC.

### 8. **Previously Submitted**

Indicate if a pre-application for this project was submitted for a previous Round funding. If yes, indicate the Round. (These Pre-applications are for Round 35).

### 9. **Subdivision Responsible**

Is your community legally responsible for the care and maintenance of this infrastructure under the Ohio Constitution or the Ohio Revised Code.

**10. Type of Request**

Indicate the type of OPWC participation requested for the project - Check Grant, Loan, or Credit (Credit Enhancement). Loans must be for at least \$50,000. Check both Grant and Loan if a loan/grant project is applied for.

**11. Action**

Indicate the percentage of the project that is a repair/replacement or new/expansion infrastructure.

**12. Project Type**

Indicate the primary infrastructure included in the project with "XX". (Indicate with an "X" all the appropriate categories)

**13. If User Fees - Affordability Worksheet**

Indicate if the infrastructure is on a user fee system (water, sanitary sewer) All water and sanitary sewer projects must complete an Affordability Worksheet, and indicate the figures on lines 11, 22, and 27 of the worksheet, as appropriate.

**14. If Not Approved for a Grant, is a Loan Acceptable?**

District 6 should submit enough loan projects to utilize the existing allocation plus any unused carry-over balance from previous years. If the district cannot meet this requirement, loans will be available for projects that did not receive a grant. These loans will be offered in order of points awarded to communities who indicate their interest on the pre-application.

**15. Age of System**

Indicate the age of the existing infrastructure or the date of the last major improvement. If a road project is submitted, indicate when the last major resurfacing was completed.

**16. Condition**

Indicate the condition of system/structure.

**Good** - No repair is required, or no supporting documentation has been submitted.

**Fair** - Infrastructure functions as originally intended but requires minor repairs and/or upgrades to meet current design standards.

**Moderate** - Infrastructure contains a major deficiency and will require repair to function and meet current design standards.

**Poor** - Infrastructure has a major deficiency with imminent failure, major repairs are required.

**Critical** - Primary component has failed, and the infrastructure is closed, or inoperable. The infrastructure requires a significant repair/upgrade to return to the intended level of service and meet current design standards. Infrastructure closed for any reason except failure will receive "0" points.

**17. Generation of Revenue**

If the project generates new revenue in the form of user fees or assessments, zero points will be awarded. Projects with no new user fees or assessments will receive one point.

**18. Dwelling Units Served**

Indicate the number of dwelling units served by the project. **Indicate whether applying for points in 2b or 2c.** Please document the number served and provide call sheets and summary detail of all stormwater and wastewater backups into basements in affected area.

**19. Commercial Units Served**

Indicate the number of non-residential units served by the project. **Indicate whether applying for points in 2b or 2c.** Please document the number served and provide call sheets and summary detail of all storm water and wastewater backups into basements in affected area.

**20. Useful Life With**

Indicate the maximum number of years the system/structure will operate at design/performance capacity after the completion of the project. See Page 20 of Program Manual. Must be documented. Please attach an engineer's certification of useful life.

**21. Average Daily Traffic Counts/Source**

Indicate the volume of traffic using the system/structure per day. If more than one road is being submitted, an area weighted number is required. The source of the traffic count must be approved by Eastgate, must have been completed within the most recent five years, and must be within the proposed construction limits of the improvement. An area weighted worksheet must be included in the pre-application.

**22. Specific Location**

Provide the exact location of the project using conventional descriptive indicators. (8.5" x 11" Location map is also required with this pre-application) List all roads being paved.

**23. Project Components**

Indicate the major components and subcomponents of the infrastructure project being proposed (Roadway improvements should indicate whether the project is basic repaving and to what thickness, or whether rebuilding the base is involved and to what degree. A sewer project should indicate whether it involves collection lines, lift stations, interceptors, etc.).

**24. Physical Dimensions/Characteristics**

Through gross indicators, provide size details on the project components. (A water improvement could include 1,600 linear feet of 8-inch water line. A roadway could be described as 2 lanes, 24 feet wide and 1.35 miles in length.)

**25. Design Service Capacity**

Indicate specific details on service level and area the current facility was designed to handle and is now addressing. Indicate specific details on service level and area the proposed project is designed to handle. Provide information on whether the project has been designed in consideration of minimum performance standards required by other named authorities.

**26. Project Justification/Health and Safety**

Will this project improve an existing problem, alleviate serious traffic problems, hazards, or needs of rapid growth and development? Indicate the nature of the solution. Should be documented with a Certified Engineer's Statement and/or Traffic Study. Indicate if special circumstances exist that if not corrected would be detrimental to public health and/or safety (high accident location, school bus or emergency vehicle route, flooding problem, inadequate sanitary sewers, inadequate water, etc.). If so, please explain. This should be documented with a Certified Engineer's Statement and/or Traffic Study.

**27. Are Federal or State Funds Available to Finance All or Part of Project?**

Indicate if the project qualifies for any existing state (other than OPWC) and/or federal program and to what degree (all or part). Indicate if state/federal funds have been applied for to be used on this project. If so, please explain.

**28. Is the Project Multi-Jurisdictional?**

Indicate if the project overlaps traditional political boundaries or is under the control of more than one political subdivision within the boundaries of Mahoning and/or Trumbull counties. If so, please explain. NOTICE: In PY 2007, the District 6 PWIC reevaluated the awarding of points for multi-jurisdictional improvements. A maximum of 5 points will be awarded where entities participate equally in the local cost of a project 50%-50%, 60% - 40% of the local share will generate 4 points, 70% - 30% will generate 3 points, 80% - 20% will generate 2 points, and 90% - 10% of local share will generate 1 point. Indicate if the project has a regional impact or offers a solution to a multi-jurisdictional problem. If so, please explain. Attach a copy of the agreement between jurisdictions.

**29. Can this Project be Completed on Schedule without SCIP/LTIP?**

Indicate if the project can be completed in a timely manner without SCIP or LTIP funding. If not, explain.

**30. Is the project needed for new economic or residential growth?**

Indicate if the completion of this project will aid and/or encourage economic and/or residential development. Indicate if the system is inadequate because of rapid growth/development. If so, please explain.

**31. Is this Project under OEPA Sanctions or Mandates?** - Indicate if the project is under Ohio Environmental Protection Agency mandates or sanctions. If yes, explain. Attach documentation of the sanction/mandate.

**32. Estimated Start Schedule**

Indicate the estimated start dates for engineering/design, bidding process, and construction.

**33. Estimated Completion Schedule**

Indicate the estimated completion dates for engineering design, bidding process, and construction. (Construction includes time to complete the closeout of all paperwork with OPWC to finalize this project).

**34. Project Estimated Costs**

From a registered professional engineer's cost estimate, the project costs for the total project, repair/replacement portion, and the new/expand portion of the project for the categories listed below **Please No Cents.**

**Project Engineering**

**Preliminary Engineering** - enter the cost of preliminary engineering.

**Final Design** - enter the cost of final design.

**Other Engineer's Services** - enter the total of Supervision and Miscellaneous

**Supervision** - enter the cost of construction supervision.

**Miscellaneous** - enter the cost of miscellaneous engineering services such as special testing or permit fees.

## Acquisition

**Land** - enter the cost of land to be purchased as part of the project

**Right of Way** - enter the cost of right of way to be acquired as part of the project

**Construction Costs** - enter the estimated construction costs to be paid to contractors or to be completed by force account by your employees.

**Equipment Costs** - include only equipment costs that are integral to the project

**Other Direct Expenses** - enter out-of-pocket costs borne by the Applicant that are directly related to the execution of the project (loan assistance, legal fees, advertising, etc.).

**Contingencies** - these items must be identifiable in an "Engineer's Certified Cost Estimate" in the final application. Contingencies cannot exceed 10% of total project cost.

**Total Estimated Costs** - enter the total of all costs above. This estimate must equal total resources under Anticipated Financial Resources below

**35. Anticipated Financial Resources** - Round to nearest dollar. If you haven't used any cents, you won't have to round.

**Ohio Public Works Commission** - The anticipated amount of State Capital Improvement Program (SCIP) or Local Transportation Improvement Program (LTIP) funding by category and the percentage of the resources.

**Other State/Federal Funds** - The anticipated amount of State (Non-OPWC) and Federal funding by department and the percentage of the total resources.

**Local Match Revenues** - The anticipated amount of local funding by category and the percentage of the total resources.

**Total All Revenues** - Total of Revenues. Must equal Total Costs under Project Estimated Costs above.

### **36. Check List**

Each pre-application must include an original of the following:

**Certified Engineer's Cost Estimate**

**Certified Engineer's Statement of Impact - Problem Solution** (If Applicable)

**Traffic Studies - Engineering Reports** (If Applicable)

**Certified Engineer's Useful Life Estimate**

**Location Map** – 8.5" x 11" maps are preferable.

**Traffic Count Weighted Average Worksheet**

**Affordability Worksheet** (water and sanitary system projects only)

**Copy of All Cooperative Agreements** (projects involving more than one political subdivision)

**Auditor's Certificate of Estimated Resources** (Communities under 5,000) This is required to score projects for the Small Government Program and must be included with the pre-application.

**Each submission must include 1 copy of the full application and 18 copies of the 4-page pre-application with a copy of an 8.5"x11" project location map attached to each of the 18 copies.**

Questions can be directed to:

Ed Davis, OPWC Program Manager  
Eastgate Regional Council of Governments  
100 East Federal Street – Suite 1000  
Youngstown, Ohio 44503

Voice: 234-254-1511  
E-mail: [edavis@eastgatecog.org](mailto:edavis@eastgatecog.org)

Ms. Donna Kirkbride, Program Representative  
Ohio Public Works Commission  
65 East State Street - Suite 312  
Columbus, Ohio 43215

Voice: 614-644-1942  
E-mail: [donna.kirkbride@pwc.state.oh.us](mailto:donna.kirkbride@pwc.state.oh.us)

### **OPWC application, instructions and attachments**

<https://www.pwc.ohio.gov/Programs/Infrastructure-Programs/Infrastructure-Application>



## Community Codes

Community	Code
Trumbull County	155-00155
Bazetta Township	155-04444
Bloomfield Township	155-07160
Braceville Township	155-08056
Bristol Township	155-08938
Brookfield Township	155-09190
Champion Township	155-13470
Cortland City	155-18812
Farmington Township	155-26684
Fowler Township	155-28098
Girard City	155-30198
Greene Township	155-32046
Gustavus Township	155-32732
Hartford Township	155-34230
Howland Township	155-36554
Hubbard City	155-36582
Hubbard Township	155-36596
Johnston Township	155-39298
Kinsman Township	155-40502
Liberty Township	155-43344
Lordstown Village	155-44912
McDonald Village	155-45934
Mecca Township	155-48678
Mesopotamia Township	155-49210
Newton Township	155-55636
Newton Falls Village	155-55650
Niles City	155-55916
Orangeville Village	155-58674
Southington Township	155-73397
Vernon Township	155-79856
Vienna Township	155-80052
Warren City	155-80892
Warren Township	155-80906
Weathersfield Township	155-82446
West Farmington Village	155-83384
Yankee Lake Village	155-86856

Community	Code
Mahoning County	099-00099
Austintown Township	099-03198
Beaver Township	099-04668
Beloit Village	099-05410
Berlin Township	099-05858
Boardman Township	099-07468
Campbell City	099-11066
Canfield City	099-11360
Canfield Township	099-11374
Coitsville Township	099-16476
Craig Beach Village	099-19106
Ellsworth Township	099-25088
Goshen Township	099-31038
Green Township	099-31794
Lowellville Village	099-45178
Milton Township	099-50638
New Middletown Village	099-55118
Jackson Township	099-56672
Poland Township	099-63954
Poland Village	099-63968
Sebring Village	099-71200
Smith Township	099-72740
Springfield Township	099-74124
Struthers City	099-75126
Youngstown City	099-88000

## Small Government Program

### Application Guidelines

All communities must first submit projects for funding through the district allocation. Pre-Applications are due in Eastgate's office by 3:00 pm on October 5<sup>th</sup>. Since the rating criteria used for the Small Government Program is different than that used by District 6, it is important that small governments prepare the application to be scored by both methodologies. Projects must be rated and ranked for funding by District 6 before recommended to the Small Government Commission. The District 6 rating system is available in this manual. The District 6 committee requires communities under 5,000 in population to provide additional information that will improve the competitiveness of applications when rated using Small Government criteria. The latest Auditor's Certificate of Estimated Resources must be included with the District 6 Pre-Application.

If unsuccessful with district funding, communities under 5,000 in population will be scored using the Small Government criteria. The District 6 Small Government committee will select the projects with the best chance to receive funding. Five projects along with two alternates can be submitted from each district.

### Small Government Scoring Criteria

1. Ability and Effort to Finance the Project
2. Importance to Health and Safety
3. Age and Condition of System
4. Leveraging Ratio
5. Population Benefit
6. District Priority Ranking
7. Amount of OPWC Funding Requested
8. Loan Request as Percentage
9. Useful Life of Project
10. Median Household Income

Final applications for Small Government funding are due in Eastgate's office by 3:00 pm on January 15, 2021.

In order to receive maximum points, communities are asked to have their plans completed by the Small Government Engineer's Plan Status Certification deadline (around the end of March).

**Once a communities' project has been selected and submitted for Small Government consideration, the process is as follows:**

- **Intent to Apply** - No longer required by the OPWC.
- **Cure Period** - Confirmed applicants (all listed contacts with valid email addresses) are provided a "cure" notification with deadline stating they have 30 calendar days, to provide required and/or additional documentation according to the Small Government requirements and methodology. All submissions must be received by midnight on the due date with only one exception. This exception is that all applicants have the same date, March 31, 2021, to submit the required Small Government Engineer's Plan Status Certification. As part of the cure, applicants may make changes to the amount and type of funding assistance requested (grant and loan), as well as engineering if the originating district does not permit engineering as part of the application.
- **Composite Score** - After the 30-day cure, the applications are scored. Applicants (contact listed on page 1 of application) are emailed their final composite score and, if applicable, calculated combined annual water/wastewater rates. Applicants are given one week to refute discrepancies.
- **Awards** - About noon on the day of the Small Government Commission meeting the final results are posted on this website. Each district liaison is then sent their district results and each applicant who is awarded funds receives a congratulatory email with information pertaining to the release of agreements.

**Guidelines for the OPWC Small Government Program are available at**

<http://www.pwc.state.oh.us/SmallGovernment.html>

**Electronic Forms for Small Government Application**

<http://www.pwc.state.oh.us>

## Authorizing Legislation

### AUTHORIZING LEGISLATION

A RESOLUTION AUTHORIZING [INSERT NAME AND / OR TITLE] TO PREPARE AND SUBMIT AN APPLICATION TO PARTICIPATE IN THE OHIO PUBLIC WORKS COMMISSION STATE CAPITAL IMPROVEMENT AND / OR LOCAL TRANSPORTATION IMPROVEMENT PROGRAM(S) AND TO EXECUTE CONTRACTS AS REQUIRED

WHEREAS, the State Capital Improvement Program and the Local Transportation Improvement Program both provide financial assistance to political subdivisions for capital improvements to public infrastructure, and

WHEREAS, the [Insert Name of Political Subdivision] is planning to make capital improvements to [Insert Project Name], and

WHEREAS, the infrastructure improvement herein above described is a priority need for the community and is a qualified project under the OPWC programs,

NOW THEREFORE, BE IT RESOLVED by [Insert Name of Political Subdivision]:

Section 1: The *[Insert Name and/or Title of the individual who signs page 6 of the application]* is hereby authorized to apply to the OPWC for funds as described above.

Section 2: *The [Insert Name and/or Title of the Chief Executive Officer on page 5 of the application]* is authorized to enter into any agreements as may be necessary and appropriate for obtaining this financial assistance.

Passed: [Insert Date]

[All Required Signatures Here]

## **CFO Certification of Local Funds and Repayment Letter**

### **Chief Financial Officer's Certification of Local Funds**

[Insert Date]

I, [Insert title] of the [Insert name of political subdivision], hereby certify that [Insert name of political subdivision] has the amount of [Insert amount of local funds] in the [Insert name of account / fund] and that this amount will be used to pay the local share for the [Insert name of project] when it is required.

[Name, Title and Signature of Chief Financial Officer]

### **Chief Financial Officer's Loan Repayment Letter**

[Insert Date]

I, [Insert title] of the [Insert name of political subdivision], hereby certify that [Insert name of political subdivision] has / will have / will collect the amount of [Insert amount of loan] in the [Insert Name of Account / Fund] and that this amount will be used to repay the Ohio Public Works Commission SCIP or RLP loan requested for the [Insert name of project] over a [Insert number of years] term.

[Name, Title and Signature of Chief Financial Officer]

# Affordability Table - Median Household Income (MHI)

Community	Community Median Household Income	State Median Household Income	Community Percent of State MHI	Drinking Water Affordability Rate	Waste Water Affordability Rate	Combined Affordability Rate	Line 10 Water (A)	Line 21 Sewer (B)	Line 26 Combined (C)
Austintown Township	\$48,027.00	\$54,533.00	88.07%	0.013	0.017	0.030	\$624.35	\$816.46	\$1,440.81
Bazetta Township	\$55,956.00	\$54,533.00	102.61%	0.015	0.018	0.033	\$839.34	\$1,007.21	\$1,846.55
Beaver Township	\$61,420.00	\$54,533.00	112.63%	0.013	0.017	0.030	\$798.46	\$1,044.14	\$1,842.60
Beloit Village	\$38,864.00	\$54,533.00	71.27%	0.011	0.015	0.026	\$427.50	\$582.96	\$1,010.46
Berlin Township	\$53,750.00	\$54,533.00	98.56%	0.015	0.018	0.033	\$806.25	\$967.50	\$1,773.75
Bloomfield Township	\$57,279.00	\$54,533.00	105.04%	0.013	0.017	0.030	\$744.63	\$973.74	\$1,718.37
Boardman Township	\$55,070.00	\$54,533.00	100.98%	0.013	0.017	0.030	\$715.91	\$936.19	\$1,652.10
Braceville Township	\$56,093.00	\$54,533.00	102.86%	0.013	0.017	0.030	\$729.21	\$953.58	\$1,682.79
Bristol Township	\$68,705.00	\$54,533.00	125.99%	0.015	0.018	0.033	\$1,030.58	\$1,236.69	\$2,267.27
Brookfield Township	\$41,866.00	\$54,533.00	76.77%	0.013	0.017	0.030	\$544.26	\$711.72	\$1,255.98
Campbell City	\$30,484.00	\$54,533.00	55.90%	0.011	0.015	0.026	\$335.32	\$457.26	\$792.58
Canfield City	\$74,500.00	\$54,533.00	136.61%	0.015	0.018	0.033	\$1,117.50	\$1,341.00	\$2,458.50
Canfield Township	\$77,664.00	\$54,533.00	142.42%	0.015	0.018	0.033	\$1,164.96	\$1,397.95	\$2,562.91
Champion Township	\$56,922.00	\$54,533.00	104.38%	0.015	0.018	0.033	\$853.83	\$1,024.60	\$1,878.43
Coitsville Township	\$58,690.00	\$54,533.00	107.62%	0.015	0.018	0.033	\$880.35	\$1,056.42	\$1,936.77
Cortland City	\$65,082.00	\$54,533.00	119.34%	0.015	0.018	0.033	\$976.23	\$1,171.48	\$2,147.71
Craig Beach Village	\$50,714.00	\$54,533.00	93.00%	0.013	0.017	0.030	\$659.28	\$862.14	\$1,521.42
Ellsworth Township	\$63,074.00	\$54,533.00	115.66%	0.015	0.018	0.033	\$946.11	\$1,135.33	\$2,081.44
Farmington Township	\$60,547.00	\$54,533.00	111.03%	0.013	0.017	0.030	\$787.11	\$1,029.30	\$1,816.41
Fowler Township	\$48,988.00	\$54,533.00	89.83%	0.015	0.018	0.033	\$734.82	\$881.78	\$1,616.60
Girard City	\$40,811.00	\$54,533.00	74.84%	0.011	0.015	0.026	\$448.92	\$612.17	\$1,061.09
Goshen Township	\$50,250.00	\$54,533.00	92.15%	0.013	0.017	0.030	\$653.25	\$854.25	\$1,507.50
Green Township	\$64,777.00	\$54,533.00	118.78%	0.013	0.017	0.030	\$842.10	\$1,101.21	\$1,943.31
Greene Township	\$50,563.00	\$54,533.00	92.72%	0.013	0.017	0.030	\$657.32	\$859.57	\$1,516.89
Gustavus Township	\$54,234.00	\$54,533.00	99.45%	0.015	0.018	0.033	\$813.51	\$976.21	\$1,789.72
Hartford Township	\$73,456.00	\$54,533.00	134.70%	0.015	0.018	0.033	\$1,101.84	\$1,322.21	\$2,424.05
Howland Township	\$59,271.00	\$54,533.00	108.69%	0.015	0.018	0.033	\$889.07	\$1,066.88	\$1,955.94
Hubbard City	\$50,487.00	\$54,533.00	92.58%	0.011	0.015	0.026	\$555.36	\$757.31	\$1,312.66
Hubbard Township	\$50,455.00	\$54,533.00	92.52%	0.011	0.015	0.026	\$555.01	\$756.83	\$1,311.83
Jackson Township	\$53,148.00	\$54,533.00	97.46%	0.015	0.018	0.033	\$797.22	\$956.66	\$1,753.88
Johnston Township	\$60,000.00	\$54,533.00	110.03%	0.015	0.018	0.033	\$900.00	\$1,080.00	\$1,980.00
Kinsman Township	\$48,651.00	\$54,533.00	89.21%	0.013	0.017	0.030	\$632.46	\$827.07	\$1,459.53
Liberty Township	\$43,281.00	\$54,533.00	79.37%	0.013	0.017	0.030	\$562.65	\$735.78	\$1,298.43
Lordstown Village	\$53,483.00	\$54,533.00	98.07%	0.015	0.018	0.033	\$802.25	\$962.69	\$1,764.94
Lowellville Village	\$48,203.00	\$54,533.00	88.39%	0.011	0.015	0.026	\$530.23	\$723.05	\$1,253.28
Mahoning County	\$44,682.00	\$54,533.00	81.94%	0.011	0.015	0.026	\$491.50	\$670.23	\$1,161.73
McDonald Village	\$48,203.00	\$54,533.00	88.39%	0.013	0.017	0.030	\$626.64	\$819.45	\$1,446.09
Mecca Township	\$57,487.00	\$54,533.00	105.42%	0.015	0.018	0.033	\$862.31	\$1,034.77	\$1,897.07
Mesopotamia Township	\$53,276.00	\$54,533.00	97.69%	0.011	0.015	0.026	\$586.04	\$799.14	\$1,385.18
Milton Township	\$47,167.00	\$54,533.00	86.49%	0.013	0.017	0.030	\$613.17	\$801.84	\$1,415.01
Mahoning Valley Sanitary District		\$54,533.00							
New Middletown Village	\$45,938.00	\$54,533.00	84.24%	0.011	0.015	0.026	\$505.32	\$689.07	\$1,194.39
Newton Falls Village	\$43,493.00	\$54,533.00	79.76%	0.011	0.015	0.026	\$478.42	\$652.40	\$1,130.82
Newton Township	\$47,487.00	\$54,533.00	87.08%	0.013	0.017	0.030	\$617.33	\$807.28	\$1,424.61
Niles City	\$42,355.00	\$54,533.00	77.67%	0.011	0.015	0.026	\$465.91	\$635.33	\$1,101.23
Orangeville Village	\$49,444.00	\$54,533.00	90.67%	0.013	0.017	0.030	\$642.77	\$840.55	\$1,483.32
Poland Township	\$80,806.00	\$54,533.00	148.18%	0.015	0.018	0.033	\$1,212.09	\$1,454.51	\$2,666.60
Poland Village	\$71,471.00	\$54,533.00	131.06%	0.015	0.018	0.033	\$1,072.07	\$1,286.48	\$2,358.54
Sebring Village	\$40,160.00	\$54,533.00	73.64%	0.011	0.015	0.026	\$441.76	\$602.40	\$1,044.16
Smith Township	\$44,868.00	\$54,533.00	82.28%	0.011	0.015	0.026	\$493.55	\$673.02	\$1,166.57
Southington Township	\$63,065.00	\$54,533.00	115.65%	0.015	0.018	0.033	\$945.98	\$1,135.17	\$2,081.15
Springfield Township	\$61,624.00	\$54,533.00	113.00%	0.013	0.017	0.030	\$801.11	\$1,047.61	\$1,848.72
Struthers City	\$39,055.00	\$54,533.00	71.62%	0.011	0.015	0.026	\$429.61	\$585.83	\$1,015.43
Trumbull County	\$45,975.00	\$54,533.00	84.31%	0.013	0.017	0.030	\$597.68	\$781.58	\$1,379.25
Vernon Township	\$48,125.00	\$54,533.00	88.25%	0.011	0.015	0.026	\$529.38	\$721.88	\$1,251.25
Vienna Township	\$58,349.00	\$54,533.00	107.00%	0.013	0.017	0.030	\$758.54	\$991.93	\$1,750.47
Warren City	\$28,173.00	\$54,533.00	51.66%	0.011	0.015	0.026	\$309.90	\$422.60	\$732.50
Warren Township	\$36,324.00	\$54,533.00	66.61%	0.011	0.015	0.026	\$399.56	\$544.86	\$944.42
Weathersfield Township	\$43,578.00	\$54,533.00	79.91%	0.011	0.015	0.026	\$479.36	\$653.67	\$1,133.03
West Farmington Village	\$48,000.00	\$54,533.00	88.02%	0.013	0.017	0.030	\$624.00	\$816.00	\$1,440.00
Yankee Lake Village	\$63,750.00	\$54,533.00	116.90%	0.013	0.017	0.030	\$828.75	\$1,083.75	\$1,912.50
Youngstown City	\$26,951.00	\$54,533.00	49.42%	0.011	0.015	0.026	\$296.46	\$404.27	\$700.73

0-69.99% MHI  
 70-79.99% MHI  
 80-89.99% MHI  
 90-99.99% MHI  
 100%+ MHI

Source: U.S. Bureau of Census, 2018: ACS 5-Year Estimates Data Profiles

# Affordability Worksheet

## AFFORDABILITY WORKSHEET FOR SANITARY SEWER AND WATER PROJECTS MUST BE COMPLETED FOR EACH WATER AND/OR SANITARY SEWER PROJECT

COMMUNITY:	
PROJECT NAME:	
1. AMOUNT OF REQUEST FOR WATER PROJECT	
2. USEFUL LIFE OF WATER PROJECT (MAXIMUM OF 20 YEARS)	
3. NUMBER OF RESIDENTIAL WATER USERS	
4. TOTAL ANNUAL WATER USAGE FEES (93,000 GAL PER YEAR)	
5. PROJECTED ANNUAL USER FEE INCREASE FOR THIS PROJECT	
6. ADD LINES 4 AND 5	\$ -
7. DIVIDE LINE 1 BY LINE 2	#DIV/0!
8. DIVIDE LINE 7 LINE 3	#DIV/0!
9. ADD LINES 6 AND 8	#DIV/0!
10. ENTER AMOUNT FROM AFFORDABILITY TABLE COLUMN A*	#N/A
11. SUBTRACT LINE 9 FROM LINE 10	#N/A
12. AMOUNT OF REQUEST FOR SANITARY SEWER PROJECT	
13. USEFUL LIFE OF SANITARY SEWER PROJECT (MAXIMUM OF 20 YEARS)	
14. NUMBER OF RESIDENTIAL SANITARY SEWER USERS	
15. TOTAL ANNUAL SANITARY SEWER USAGE FEES (93,000 GAL PER YEAR)	
16. PROJECTED ANNUAL USER FEE INCREASE FOR THIS PROJECT	
17. ADD LINES 15 AND 16	\$ -
18. DIVIDE LINE 12 BY LINE 13	#DIV/0!
19. DIVIDE LINE 18 BY LINE 14	#DIV/0!
20. ADD LINES 17 AND 19	#DIV/0!
21. ENTER AMOUNT FROM AFFORDABILITY TABLE COLUMN B*	#N/A
22. SUBTRACT LINE 20 FROM LINE 21	#N/A
23. ADD LINES 8 AND 19	#DIV/0!
24. ADD LINES 6 AND 17	\$ -
25. ADD LINES 23 AND 24	#DIV/0!
26. ENTER AMOUNT FROM AFFORDABILITY TABLE COLUMN C*	#N/A
27. SUBTRACT LINE 25 FROM LINE 26	#N/A

\* ON PREVIOUS TABLE

FOR WATER PROJECT TO BE GRANT ELIGIBLE LINE 11 OR LINE 27 MUST BE NEGATIVE  
FOR A SANITARY SEWER PROJECT TO BE GRANT ELIGIBLE LINE 22 OR LINE 27 MUST BE NEGATIVE

# Traffic Count Certification Form

## Small Government Program Only

This form is only required if traffic counts do not come from ODOT or Eastgate.

Community Name: \_\_\_\_\_

Road	Location	Count	Date

\_\_\_\_\_

Engineer's Signature and Stamp or Seal